# **Unsupervised Random Walk Sentence Embeddings: A Strong but Simple Baseline** Kawin Ethayarajh<sup>1</sup>

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#### **Motivation**

#### **Smoothed Inverse Frequency (SIF)**

- Arora et al. (2017) proposed a sentence embedding based on the idea that words are generated by the random walk of a "discourse" vector". This proved to be a strong baseline.
- They replaced the sequence of discourse vectors  $\{c_t\}$  with a single vector  $c_s$ . Words could also be produced by chance or by a "common discourse vector"  $c_0$  responsible for frequent words:

$$\langle c_0, c_s, p(w), c_s, c_s \rangle \longrightarrow$$
 The quick brown for

• The MAP estimate of a sentence embedding  $c_s$  for a sentence s with words  $\{w\}$  is calculated in two stages, **SIF weighting** (W) and **common component removal** (R):

W: 
$$\widetilde{c}_s = \frac{1}{|s|} \sum_{w \in s} \frac{a}{p(w) + a} \cdot v_w$$
  
R:  $c_s = \widetilde{c}_s - \operatorname{proj}_{c_0} \widetilde{c}_s$ 

where *a* is a hyperparameter, p(w) is the word frequency, and the first singular vector of all  $\{\tilde{c}_s\}$  is used as the estimate for  $c_0$ .

#### **Shortcomings of SIF**

• Due to the log-linear word production model (i.e.,  $p(w|c_t) \propto$  $\exp(\langle v_w, c_t \rangle))$ , word vector length has a confounding effect.



For example, despite  $h = \langle z, z \rangle$  and  $g = \langle x, y \rangle$ ,  $p(h|c_h) \approx p(g|c_g)$ , simply because ||x|| = ||y|| > ||z||.

• There is a hyperparameter *a* that needs tuning, which requires labelled data.

x jumps.

### Approach

# **Angular Distance-based Word Production**

• We replace the underlying log-linear word production model with an angular distance-based one:

$$p(w|c_t) \propto 1 - \frac{\arccos(\cos)}{\pi}$$

• The angular distance between two vectors is equivalent to the geodesic distance between them on the unit sphere:



### **Unsupervised Smoothed Inverse Frequency (uSIF)**

• The MAP estimate of  $c_s$  is calculated in two stages, **uSIF** weighting (U) and partial common component removal (P):

U: 
$$\widetilde{c}_{s} = \frac{1}{|s|} \sum_{w \in s} \frac{a}{p(w) + \frac{1}{2}a} \cdot v_{w}$$
  
P:  $c_{s} = \widetilde{c}_{s} - \sum_{i=1}^{m} \lambda_{i} \operatorname{proj}_{c_{i}'} \widetilde{c}_{s}$ 

- where  $a, \{\lambda_1, ..., \lambda_m\}$  are hyperparameters and  $\{c'_1, ..., c'_m\}$  are m common discourse vectors.
- $\{c'_1, ..., c'_m\}$  are estimated as the first *m* singular vectors of all  $\{\widetilde{c_s}\}$ and  $\lambda_i$  is estimated as the proportion of variance explained by its corresponding singular vector  $c'_i$ .
- Hyperparameter *a* can also be estimated directly, using the word frequency, average sentence length *n*, and vocabulary size |v|:

$$\alpha = \frac{\sum_{w \in \mathcal{V}} \mathbb{1} \left[ p(w) > 1 - \left( 1 - \frac{1}{|\mathcal{V}|} \right)^n \right]}{|\mathcal{V}|}$$
$$a = \frac{2(1 - \alpha)}{\alpha |\mathcal{V}|}$$



#### $S(v_w, c_t))$

### Results

• Average results on textual similarity (Pearson's  $r \times 100$ ), sentieach column is in bold.

Model	STS'12	STS'13	STS'14	STS'15	SICK14
Wieting et al. (2016) - unsupervised					
PP	58.7	55.8	70.9	75.8	71.6
PP-XXL	61.5	58.9	73.1	77.0	72.7
Arora et al. (2017) - weakly supervised					
GloVe+WR	56.2	56.6	68.5	71.7	72.2
PSL+WR	59.5	61.8	73.5	76.3	72.9
Conneau et al. (2017) - unsupervised (transfer learning)					
InferSent (AllSNLI)	58.6	51.5	67.8	68.3	-
InferSent (SNLI)	57.1	50.4	66.2	65.2	-
Wieting and Gimpel (2017) - unsupervised					
ParaNMT BiLSTM Avg.	67.4	60.3	76.4	79.7	-
ParaNMT Trigram-Word	67.8	62.7	77.4	80.3	-
Our Approach - unsupervised					
GloVe+UP	64.9	63.6	74.4	76.1	73.0
PSL+UP	65.8	65.2	75.9	77.6	72.3
ParaNMT+UP	68.3	66.1	78.4	79.0	73.5
Model			SST	SICK-R	SICK-E
ParaNMT BiLSTM AVG (Wieting and Gimpel (2017))				85.9	83.8
ParaNMT+WR (Arora et al. (2017))				83.9	80.9
ParaNMT+UP (ours)				83.8	81.1
BiLSTM-Max (on AllNLI) (Conneau et al. (2017))				88.4	86.3
BYTE mLSTM (Radford et al. (2017))				79.2	_

# Conclusion

- uSIF with partial common component removal is a tough-toembeddings.
- Future work may involve using better hyperparameter estima-(e.g., word order).

## References

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# ment classification, and entailment tasks. The highest score in

beat, simple, and completely unsupervised baseline for sentence

# tions and incorporating more information into the embedding